22. The role of education in the economic development of East and Southeast Asia is extensively discussed in World Bank (1921).

Aut a recentively encursor in world Bank (1993).

3). See Hirschman (1997), 1982). Brettan and Hamlin (1993); Griffin (1996);
Klaimer (1996); Appadurai (1996); Bowles (1998); Cowen (1998), 2003); Landes (1998); Lande

The Capacity to Aspire: Culture and the Terms of Recognition

ARIUN APPADURAL

The Argument

This care yearls as provide a new approach to the operation why does colour number I was the right these deposits and ask by its natures of development and for the reduction of powers. This both narrows and deepens the operation. The amover is that it is noticen that these of the future, as much as of those about the past, are embedded and naturaled. Thus, in tempelment the cupricty to appreciate concerved as a colour copiety, expectably among the power, to future overword large of development of the contrast of the cont

Getting Past Definitions

We do not need one more omnibus definition of culture any more than we need one of the market. In both cases, the textbooks have rung the changes over the long century in which anthropology and economies, have taken formal shape as academic disciplines. And not only have the definition mongen had apple sy there has been real refinement and academic progress on both sides. Today's definitions are both more modest, and more helpful. Others are better caupieged to tell the stoy or of what we really ought to mean when we speak of markets. Here I address the cultural side of the equation.

General definitions of culture rightly cover a lot of ground, ranging from general idea about human crativity and values, to mattern of collective identity and social organization, mattern of cultural integrity and property and matter of berings, measurements, and expressions. The intapolar property of the contractive of the contractive of the contractive of the religion of the contractive of the future—that is almost never discussed collaratification, feeding of the contractive of the future—that is almost never discussed explicitly Making this future social procession of the contractive of the future—that is almost never discussed explicitly Making this future issue explored have radical implications

In taking this approach to culture, we run against some deeply held counterconceptions. For more than a century, culture has been viewed as a matter of one or other kind of pastness-the keywords here are habit. custom, heritage, tradition. On the other hand, development is always seen in terms of the future-plans, hopes, goals, targets. This opposition is an artifact of our definitions and has been crippling. On the anthropological side, in spite of many important technical moves in the understanding of culture, the future remains a stranger to most anthropological models of culture. By default, and also for independent reasons, economics has become the science of the future, and when human beings are seen as having a future, the keywords such as wants, needs, expectations, calculations. have become hardwired into the discourse of economics. In a word, the cultural actor is a person of and from the past, and the economic actor a person of the future. Thus, from the start, culture is opposed to development, as tradition is opposed to newness, and habit to calculation. It is hardly a surprise that nine out of ten treatises on development treat culture as a worry or a drag on the forward momentum of planned economic change.

It is customary for anthropologists to pin the blame for this state of aftirs not economist and their unwillingues to broaden their views of economic action and motivation and to take culture into account. And conomics it hadly blameles, in its gowing precoccupation with models of such abstraction and paraimony that they can hadly take most realworld economics to bourd, much less the matter of culture, which simply beautiful to the contraction of the contraction of the contraction of the world economics on the disacts one of the contraction of the when the contraction of the future consess on concernogers. And this is where the outstitut of the future consess the contraction of the future consess.

In fact, most approaches to culture do not ignore the future. But they smuggle it in indirectly, when they speak of norms, beliefs, and values as being created to cultures, concreted as specific and multiple designs for social fife. But by not clarboring the implications of norms for fitturey as a cultural capacity, these definitions tend on allow the sense of culture as patients to dominate. Even the most interesting recent attempts, notely associated with the name of Perez Bourban (1977), to other practice and the property of the property of the property of the special property of the property of the property of the special property of the property of the property of the special property of the property of the property of the property of does not directly take up the matter of how collective borrions are shaped and of how they consider the basis for collective apparations which may

There have been a few key developments in the anthropological debate over culture that are vital building blocks for the central concern of this essay. The first is the insight, incubated in structural linguistics as early as Saussure, that cultural coherence is not a matter of individual items but of their relationships, and the related insight that these relations are systemaric and generative. Even those anthropologists who are deeply unsympathetic to Lévi-Strauss and anything that smacks of linguistic analogy in the study of culture, now assume that the elements of a cultural system make sense only in relation to one another, and that these systematic relations are somehow similar to those which make languages miraculously orderly and productive. The second important development in cultural theory is the idea that dissensus of some sort is part and parcel of culture and that a shared culture is no more a guarantee of complete consensus than a shared platform in the democratic convention. Earlier in the history of the discipline, this incomplete sharing was studied as the central issue in studies of children and of socialization (in anthropology, of "enculturation"), and was based on the obvious fact everywhere that children become culture bearers through specific forms of education and discipline. This insight became deepened and extended through work on gender, politics, and resistance in the last three decades, notably through the work of scholars such as John and Jean Comaroff, James Scott, Sherry Ortner, and a host of others, now so numerous as to be invisible (Comaroff and Comaroff 1991; Scott 1990: Ortner 1995). The third important development in anthropological understandings of culture is the recognition that the boundaries of cultural systems are leaky and that traffic and osmosis are the norm, not the exception. This strand of thought now underwrites the work of some of the key theorists of the cultural dimensions of globalization (Beck 2000; Hannerz 1992, 1996; Mbembe 2001; Sassen 1998, 1999), who foreground mixture, heterogeneity, diversity, heterogeneity, and plurality as critical features of culture in the era of globalization. Their work reminds us that no culture, past or present, is a conceptual island unto itself, except in the imagination of the observer. Cultures are and always have been interactive to some degree.

Of course, each of these developments in anthropology is accompanied by a host of footnotes, debates, and ongoing litigations (as must be the case in any serious academic discipline). Still, no serious contemporary understanding of culture can ignore these three key dimensions: relationality (between norms, values, beliefs, etc.); dissensus within some framework of consensus (especially in regard to the marginal, the poor, gender relations, and power relations more generally); and weak boundaries (perenially visible in processes of migration, trade, and warfare now writ large in globalizing cultural traffic)

This chapter builds on and returns to these important developments. They are of direct relevance to the recovery of the future as a cultural capacity. In making this recovery, we will also need to recall some of these wider developments within anthropology. But my main concern here is with the implications of these moves for current debates about development and poverty reduction.

Bringing the Future Back In

The effort to recover, highlight, and foreground the place of the future in our understandings of culture is not a matter, fortunately, where anthropology has to invent the entire wheel. Allies for this effort can be found in a variety of fields and disciplines, ranging from political theory and moral philosophy to welfare economics and human rights debates. My own thinking on this project builds on and is in dialogue with three important sets of ideas which come from outside anthropology and some from within it

Outside anthropology, the effort to strengthen the idea of aspiration as a cultural capacity, can build on Charles Taylor's path-breaking concept of "recognition," his key contribution to the debate on the ethical foundations of multiculturalism (Taylor 1992). In this work, Taylor showed that there is such a thing as a "politics of recognition," in virtue of which there was an ethical obligation to extend a sort of moral cognizance to persons who shared worldviews deeply different from our own. This was an important move, which gives the idea of tolerance some political teeth. makes intercultural understanding an obligation, not an option, and recognizes the independent value of dignity in cross-cultural transactions apart from issues of redistribution. The challenge today, as many scholars have noted, is how to bring the politics of dignity and the politics of poverty into a single framework. Put another way, the issue is whether culnural recognition can be extended so as to enhance redistribution (see especially Fraser and Honneth 2003; Fraser 2001).

I also take inspiration from Albert Hirschman's now classic work (Hirschman 1970) on the relations between different forms of collective identification and satisfaction, which enabled us to see the general applicability of the ideas of "loyalty," "exit," and "voice," terms that Hirschman used to cover a wide range of possible relations that human beings have to decline in firms, organizations, and states. In Hirschman's terms, I would suggest that we have tended to see cultural affiliations almost entirely in terms of lovalty (total attachment) but have paid little attention to exit and spice. Voice is a critical matter for my purposes since it engages the question of dissensus. Even more than the idea of exit it is vital to any engagement with the poor (and thus with poverty), since one of their gravest lacks is the lack of resources with which to give "voice," that is, to express their views and get results skewed to their own welfare in the political debates that surround wealth and welfare in all societies. So, a way to put my central question in Hirschman's terms would be: how can we strengthen the capability of the poor to have and to cultivate "voice," since exit is not a desirable solution for the world's poor and loyalty is clearly no longer generally clearcut?

My approach also responds to Amartya Sen, who has placed us all in his debt through a series of efforts to argue for the place of values in economic analysis and in the politics of welfare and well-being. Through his earlier work on social values and development (Sen 1984) to his more recent work on social welfare floosely characterized as the "capabilities" approach) (Sen 1985a) and on freedom (Sen 1999), Sen has made major and overlapping arguments for placing matters of freedom, dignity, and moral well-being at the heart of welfare and its economics. This approach has many implications and applications, but for my purposes, it highlights the need for a parallel internal opening up in how to understand culture, so that Sen's radical expansion of the idea of welfare can find its strongest cultural counterpoint. In this chapter, I am partly concerned to bring aspiration in as a strong feature of cultural capacity, as a step in creating a more robust dialogue between "capacity" and "capability," the latter in Sen's terms. In more general terms, Sen's work is a major invitation to anthropology to widen its conceptions of how human beings engage their own

Within anthropology, in addition to the basic developments I addressed already. I regard this chapter as being in a dialogue with two key scholars.

The first, Mary Douglas, in her work on cosmology (Douglas 1973/1982) later on commodities and budgets, and later still on risk and nature (Douglas and Wildavsky 1982), has repeatedly argued for seeing ordinary people as operating through cultural designs for anticipation and risk reduction. This is a line of thought that helps us to investigate the broader problem of aspiration in a systematic way, with due attention to the internal relations of cosmology and calculation among poorer people, such as those members of the English working classes studied by Douglas in some of her best work on consumption (Douglas and Isherwood 1979/1990).

Finally, James Fernandez has had a long-term interest in the problem of how cultural consensus is produced. In this exercise, he has reminded us that even in the most "traditional"-looking cultures, such as the Fang of West Africa whom he has written about extensively, we cannot take consensus for granted. His second major contribution is in showing that through the specific operations of various forms of verbal and material ritual, through "performances" and metaphors arranged and enacted in specific ways, real groups actually produce the kinds of consensus on first principles that they may appear to take simply for granted (Fernandez 1965, 1986). This work opens the ground for me, in my own examinations of activism among the poor in India and elsewhere to note that certain uses of words and arrangements of action that we may call cultural, may be especially strategic sites for the production of consensus. This is a critical matter for anyone concerned with helping the poor to help themselves, or in our current jargon, to "empower" the poor. With Fernandez, we can ask how the poor may be helped to produce those forms of cultural consensus that may be best advance their own collective long-term interests in matters of wealth, equality, and dignity.

I turn now to asking why such a revitalized tool kit is called for to make real progress on the relationship between culture, poverty, and development. What exactly is the problem?

The Capacity to Aspire

Poverty is many things, all of them bad. It is material deprivation and desperation. It is lack of security and dignity. It is exposure to risk and high costs for thin comforts. It is inequality materialized. It diminishes its victims. It is also the situation of far too many people in the world, even if the relative number of those who are escaping the worst forms of poverty is also increasing. The number of the world's poor, their destitution, and their desperation now seem overwhelming by most measures.

The poor are not just the human bearers of the condition of poverty,

They are a social group, partly defined by official measures but also conscious of themselves as a group, in the real languages of many societies. Just as ordinary human beings have learned to think of themselves as "people" and even as "the people" in most human societies in the wake of the democratic revolution of the last three centuries, poor people increasingly some themselves as a group, in their own societies and also across these societies. There may not be anything which can usefully be called a "culture of poverty" (anthropologists have rightly ceased to use this conceptualizarion), but the poor certainly have understandings of themselves and the world that have cultural dimensions and expressions. These may not be easy to identify, since they are not neatly nested with shared national or regional cultures, and often cross local and national lines. Also, they may be differently articulated by men and women, the poorest and the merely poor the employed and the unemployed, the disabled and the ablehodied, the more politically conscious and the less mobilized. But it is never hard to identify threads and themes in the worldviews of the poor. These are strikingly concrete and local in expression but also impressively general in their reach. The multivolume World Bank-sponsored study of "The Voices of the Poor" is a major archive of these threads and themes (Narayan et al. 2001a,b).

This archive and other close observations of poor populations in different parts of the world reveal a number of important things about culrure and poverty. The first is that poor people have a deeply ambivalent relationship to the dominant norms of the societies in which they live. Even when they are not obviously hostile to these norms, they often show forms of irony, distance, and cynicism about these norms. This sense of irony, which allows the poor to maintain some dignity in the worst conditions of oppression and inequality, is one side of their involvement in the dominant cultural norms. The other side is compliance, not mere surface compliance but fairly deep moral attachment to norms and beliefs that directly support their own degradation. Thus, many untouchables in India comply with the degrading exclusionary rules and practices of caste because they subscribe in some way to the larger order of norms and metaphysical propositions which dictate their compliance: these include ideas about fate, rebirth, caste duty, and sacred social hierarchies. Thus the poor are neither simple dupes nor secret revolutionaries. They are survivors. And what they often seek strategically (even without a theory to dress it up) is to optimize the terms of trade between recognition and redistribution in their immediate, local lives. Their ideas about such optimization may not be perfect, but do we have better optima to offer to them?

terms is a crucial priority

In other terms, returning to Hirchman, we need to strengthen the opacity of the poor to execute "wise"; to debate, context, and oppose experience of the poor to execute which the observation of the investment of the observation of includes and participation in any dimension. But there is a stronger reason for trengthening the capacity for voice among the poor it in the only way in which the poor might find locally among the poor it is the only way in which the poor might find locally particular cludwal engine. Here I terat visit e. a cultural capacity, not just as a generalized and universal democratic virtue because for vivie to take effect, it mater engage oils, political, and convenient was the terms of ideelect, it mater engage oils, political, and convenient was to resum of ide-

terms of recognition for the poor, intervention to positively affect these

by the rich and powerful. Furthermore, voice must be expressed in terms of actions and performances which have local cultural force. Here, Gandhi's life, his fasting, his abstinence, his bodily comportment, his secrical style, his crypto-Hindu use of nonviolence and of peaceful resistance, were all tremendously successful because they mobilized a local palette of performances and precursors. Likewise, as the poor seek to strengthen their voices as a cultural capacity, they will need to find those levers of metaphor, rhetoric, organization, and public performance that will work best in their cultural worlds. And when they do work, as we have seen with various movements in the past, they change the terms of recognition, indeed the cultural framework itself. So, there is no shortcut to empowerment. It has to take some local cultural form to have resonance, mobilize adherents, and capture the public space of debate. And this is true in the efforts that the poor make to mobilize themselves (internally) and in their efforts to change the dynamics of consensus in their larger social worlds.

The complex relationship of the poor and the marginalized to the cultural regimes within which they function is clearer still when we consider a specific cultural capacity, the capacity to aspire. I have already indicated that this is a weak feature of most approaches to cultural processes and frequently remains obscure. This obscurity has been especially costly for the poor, and in regard to development more generally.

Aspirations certainly have something to do with wants, preferences, choices, and calculations. And because these factors have been assigned to the discipline of economics, to the domain of the market and to the level of the individual actor (all approximate characterizations), they have been large invisible in the study of culture.

To reparate them into the domain of the culture, we need to begind by sunting that apprintion from parts of wider chical and metaphysical ideas which derive from larger cultural norms. Apriations are never imply included, in the language of warms and choice indines us to think? They are always formed in interaction and in the date control of the con

work, neutro, convoluence, reporterminy, thristandy, assurin, due virtue, and emerge only a specific warms and closection for that, for that marriage connection or another one, for this plot in the measurancy, as upposed to that job overwhere for this plot of allows over that measurancy as the contract of the plot of of the plo

The poor, no less than any other group in a society, do express horizons in choices made and choices voiced, often in terms of specific goods and outcomes, often material and proximate, like doctors for their challern, markets for their grain, husbands for their dupleters, and tin roofs for their homes. But these liss, apparently just bundles of individual and disloym-cratic wants, are inevitably tied up with more general norms, presumptions, and axioms about the eood life, and life more generally.

But here is the twist with the capacity to aspire. It is not evenly distributed in any society. It is a sort of metacapacity, and the relatively rich and powerful invariably have a more fully developed capacity to aspire. What does this mean? It means that the better off you are (in terms of power, dignity, and material resources), the more likely you are to be conscious of the links between the more and less immediate objects of aspiration. Because the better off, by definition, have a more complex experience of the relation between a wide range of ends and means, because they have a bigger stock of available experiences of the relationship of aspirations and outcomes, because they are in a better position to explore and harvest diverse experiences of exploration and trial, because of their many opportunities to link material goods and immediate opportunities to more general and generic possibilities and options. They too may express their aspirations in concrete, individual wishes and wants. But they are more able to produce justifications, parratives, metaphors, and pathways through which bundles of goods and services are actually tied to wider social scenes and contexts, and to still more abstract norms and beliefs. This resource, unequally tilted in favor of the wealthier people in

any society, is also subject to the truism that "the rich get richer," since the archive of concrete experiments with the good life gives muance and texture to more general norms and axioms conversely, experience with articulating these norms and axioms makes the more privileged members of any society more supple in navigating the complex steps between these norms and specific wants and wishes.

The capacity to applie is thus a nerigational capacity. The more privaleged in any occur jumply have used the map of its norm to explore the form of the control of the capacity of the capacity of the capacity of the term of the capacity and more realistically, and to share this knowledge for the capacity of the capacity of the capacity of the capacity of the The power members, precisely because of their lack of opportunities to practice the use of this nexiginated apacity (in turn because their situations permit fewer experiments and less casy archiving of alternative futures), have a more brittle horizon of applications.

The difference should not be minundersnood. In mot spring that the potent cannot with, warn, need, plan or agine. But part of powerty is a diminishing of the circumstances in which these practices occur if the power of agritation (contaming the neignbours) of the content of t

This capacity to appresson corrected as a navigational capacity which is assumed by the possibility of real-world conjectures and refutations-compound the ambivalent compliance of many substreet populations with the cultural regions that surround them. This is because the experiential limitations in substreet populations, on the capacity to appreceded to certate a bursty relationships to core cultural values, negative and skep-tical at one pole, overstatched at the other. We reproduce that any design of the control of the con

ture, the posture of "voice," the capacity to debate, contest, inquire, and participate critically.

The facility of "exist" in Hirocham's term, and what an calling the capitity on apire, a callind capitity, are reported pilated. Each accelerate the numer of the other. And the poor in every society are caught in cases and that to accelerate the capital capital

Having suggested that the capacity to aspire requires strengthousing among poor communities, it is vital to note that examples of such efform are already available in a vartery of new social movements, many drives from and by the poor themselves. In these movements, we can see what can be accomplished when the capacity to aspire is strengthened and treet din the real words, the world in which development can either fail or treet din the real words, the world in movement, we are also able to use the low of the capacity of the capacity of the policy of the low of the capacity of the capacity of the capacity of the low of the capacity of the capacity of the capacity of the low of the capacity of the capacity of the capacity of the low of the capacity of the capacity of the capacity of the low of the capacity of the capacity of the capacity of the low of the capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the capacity of the capacity of the specific social and capacity of the specific social and capacity of the capacity of the capacity of the capacity of the specific social and capacity of the specific social and capacity of the cap

Changing the Terms of Recognition: On the Ground in Mumbai

I have developed described owns results of a susty in program of general roots globularism, which comiss of a destable othoughpute across general proposed allience of housing acrosine based in Mumbast whose are building as proposed allience of housing acrosine based in Mumbast whose are building as pload coalisine to severe their vision (Appendix 2001). This movement represents forcefully what laupens when a group of poor people begins to display the control of the proposed and collarate regime. It allows use to any something also specific propose activate resources about a specific set of ways in which a specific propose activate movement of the changing the terms of recognision for the ultrus poor and enriching the cultural capacity to aspire among in members through a strategy that creamed. Such as the collarate collection of the collarate collarate collection of the collarate collarate

than a dozen countries in Africa and Asia (notably in India, South Africa, and Thailand), with additional alliances in Latin America, Japan, and the United Kingdom. SDI is a major example of the sort of global, nongovernmental network which produces new forms of local politics by innovating strategic forms of activium across borders. While the examples I use come from India, I could cite many similar examples from the activities of the network in South Africa and the Philippines, and other rational settings.

The city of Mumbals is to the state of Maharadars, in wevern India, The movement break comission of these partners, and as an Milance, in history goes lack to 1997. The drives partners have different hunters, SMM: an onogeneous state of the partners have different hunters. SMM: an onogeneous state of the state of th

Mumbai is the largest city in a country (India) whose population has just crossed the 1 billion level (one-sixth of the population of the world). The city's population is at least 1 armillion (more if we include the growning edges of the city and the population of the twin city which has been built across the Thane Creek). This means a population of 1.2% of one-sixth of the world's population. Not a minor case, even in itself.

By general consensus, here are some facts about housing in Mumbh. About 45% of in population (about 6 million persons) her in shows or other degraded forms of housing. Another 5% to 15% are presented swellers. Yet, exceeding to one recreet estimate, that sheetless exceep only the contract of the contract (regional and federal private owners. The bottom line; 5 to 6 million poor people brong in substantial conditions in 8% of the half are of a city no begin this Ministeria and its most book complete has englighted access to esternial services, such as muming water, electricity, and attention of the contract of the c

Equally important, this population, which we may call extract switches a steple as easily as vital part of the workforce of the cyslome of them occupy the lowest end of white-coilar organizations and others the lowest end of interminent of the contentral and mannersharing industricts. It many are engaged in temporary of the contentral of the contentral

Housing is at the very heart of the lives of this army of toilers. Their everyday life is dominated by ever-present forms of risk. Their temporary shacks may be demolished. Their slumlords may push them out through force or extortion. The torrential monsoons may destroy their fragile shelters and their few personal possessions. Their lack of sanitary facilities increases their needs for doctors to whom they have poor access. And their inability to document their claims to housing may snowball into a general invisibility in urban life, making it impossible for them to claim any rights to such things as rationed foods, municipal health and education facilities, police protection, and voting rights. In a city where ration cards, electricity bills, and rent receipts guarantee other rights to the benefits of citizenship, the inability to secure claims to proper housing and other political handicaps reinforce one another. Housing-and its lack-is the most public drama of disenfranchisement in Mumbai. Thus, the politics of housing can be argued to be the single most critical site of a politics of citizenship in this city. This is the context in which the activists I am working with are making their interventions, mobilizing the poor and generating new forms of politics

Instead of finding safety in diffusion with any single ruling party collision in the state government of Mahambartor on the municipal corcondition in the state government of Mahambartor on the municipal corporation of Mahabba, the Alliance has developed a complex pointies government of the control of the control of the control of the control group includes in mental civil seream who exceuse state place is the purpose of the control o works to maintain a cordial relationship with the Mumbai police and at lesst a hands-off relationship with the underworld, which is deeply implyed in the housing market, slum landlordism, and extortion, as well as in the demolition and rebuilding of temporary structures. From this perspective, the politics of the Alliance is a politics of accommodation, negotiation, and long-term pressure rather than of confrontation or threats of political reprisal. This pragmatic approach is grounded in a complex political vision about means, ends, and styles which is not entirely utilitarian or functional. It is based on a series of ideas about the transformarion of the conditions of poverty by the poor in the long run. In this sense, the idea of a political horizon implies an idea of patience and of cumulative victories and long-term asset building which is wired into every aspect of the activities of the Alliance. The Alliance believes that the mobilization of the knowledge of the poor into methods driven by the poor and for the poor is a slow and risk-laden process that informs the strong bias of the Alliance against "projects" and "projectization" that underlies almost all official ideas about urban change.

This resistance to externally defined time frames (driven by donor schedules, budgets, and economies) is a critical part of the way in which the Alliance cultivates the capacity to aspire among its members. It is played out in tough negotiations (both internal to the Alliance and with external agencies) about how plans are made, risks taken, commitments solidified, and accountability defined. For example, the Alliance recently succeeded in getting a major contract to build a large number of community toilets in Mumbai, on a scale previously reserved for private contracts and developers, or for government organizations and experts. By acquiring this major contract, the Alliance set itself the challenge of relating its long-term visions of dignity, health, and sanitary self-sufficiency to its short-term capacities for handling contractors, builders, suppliers, engineers, and banks in Mumbai. In this ongoing exercise, which is a textbook case of what "empowerment" could really mean, important segments of Mumbai's slum dwellers are exercising collectively the sinews of the capacity to aspire, while testing their capacities to convince skeptics from the funding world, the banking world, the construction industry, and the municipality of Mumbai that they can deliver what they promise, while building their capacities to plan, coordinate, manage, and mobilize their energies in a difficult and large-scale technical endeavor.

Another arena in which the Alliance (and its global partners in SDI) builds the capacity to aspire is in regard to savings, which they see as a discipline of community building. But it is also a central mode for building the capacity to aspire. Savings is thus a term which means more than what it says in the life of the Alliance. Creating informal sayings groups among the poor (now canonized by the donor world as "microcredit") is a major worldwide technique for improving financial citizenship for the urban and rural poor throughout the world, often building on older ideas of revolving credit and loan facilities managed informally and locally, outside the purview of the state and the banking sector. Savings and microcredit have many advocates and visionaries in India and elsewhere. But in the life of the Alliance, savings has a profound ideological, even salvational, status The visionary of the specific philosophy of daily savings for the Alliance is the president of the National Slum-Dweller's Foundation, A. Jockin, who has used daily savings as a principal tool for mobilization in India and his central strategy for entry and relationship building in South Africa. Cambodia, and Thailand. He is the missionary of a specific idea of daily savings among small-scale groups, which he sees as the bedrock of every other activity of the federation. Indeed, it is not an exaggeration to say that in Jockin's organizational exhortations wherever he goes, Federation = Savings. When Jockin and other members of the Alliance speak about daily savings, it becomes evident that they are describing something far deeper than a simple mechanism for meeting daily monetary needs and sharing resources among the poor. They are also speaking about a way of life organized around the importance of daily savings, which is viewed as a moral discipline (in Jockin's words, it is like "breathing") which builds a certain kind of political fortitude and commitment to the collective good and creates persons who can manage their affairs in many other ways as well. It is something like a spiritual discipline whose spread Jockin and other leaders see as the building block of the local and global success of the federation model

Makih Milin, the somewis pump that is the fluid pattern in the Allinac, in leaders a land sent enterphy recording with originary guida vising excited. Thus, in patting strongs at the heart of the moral politics of the Allinac, in leaders with the pattern of the

Sharing and circulating ideas and experiences about savings, in direct

exchanges among the poor women of SDI, has been one of the major modes by which the poorest communities in SDI have built a global dialogue based on face-to-face conversation and honest criticism of each other's hopes and failures. These exchanges also facilitate conversations about the differences in the challenges that different communities, in different countries, face in their own environments. They are also the processes through which cultural differences are explored, negotiated, and transcended through laughter, debate, song, and speeches in collective events organized over the years in Mumbai, Manila, Cape Town, Durban, and many other places. These discussions about savings are highly specific occasions for poor men and women to find out what the future truly means for different individuals and groups who are trying to think ahead and struggle for secure tenure, for government loans, for permits for water or electricity, or for the right to police their own communities. Here, local horizons of hope and desire enter a dialogue with other designs for the furure and poor persons (often women) crossing massive cultural boundaries are able to discuss their aspirations in the most concrete of forms, in conversations about why some members are unable to save regularly. about why some misuse their access to community funds, about what sorts of consumption are more or less legitimate with borrowed money, and about how money relates to trust, power, and community.

The last key term that recurs in the writing and speech of the leaders of the Alliance is the idea of "precedent setting." I am still exploring the full ramifications of this linguistic strategy. What I have learned so far is that, beneath its bland, quasi-legal tone, there is a more radical idea. The idea is that the poor need to claim, capture, refine, and define certain ways of doing things in spaces they already control and then use these to show donors, city officials, and other activists that these "precedents" are good ones, and encourage other actors to invest further in them. This is a politics of "show and tell," but it is also a philosophy of "do first, talk later." The subversive feature of this principle is that it provides a linguistic device for negotiating between the legalities of urban government and the full force of the "illegal" arrangements that the poor almost always have to make, whether they concern illegal structures, illegal strategies, informal arrangements for water and electricity, or anything else that they have succeeded in capturing out of the material resources of the city. This linguistic device shifts the burden for municipal officials and other experts away from the strain of whitewashing illegal activities to the safety of building on legitimate precedents. The image and linguistic strategy of "precedent" turns the survival strategies and experiments of the poor into legitimate foundations for policy innovations by the state, by the city, by donors, and

by other activist organizations. It is a linguistic strategy that moves the poor into the horizon of legality on their own terms. Most importantly, it involves risk-taking activities by bureaucrats within a discourse of legality, and allows the boundaries of the status quo to be pushed and stretched without creating fears of rule-breaking among those bureaucrats who are sympathetic to the Alliance. It creates a border zone of trial and error, a sort of research and development space, within which poor communities, activists, and bureaucrats can explore new designs for partnership. The strategies of precedent setting also constitute spaces for exploring the capacity to aspire and for testing the possibilities for changes in the terms of recognition. For in every discussion about precedent setting, what is involved is a map of a journey into the future, whether in the matter of relocating homes (after demolition of temporary homes by the police) or of arrangements with contractors to provide services for building toilets. or of dealings with funders about deadlines, reports, and accountability. In each of these instances, activists and the poor communities they answer to (or come from) have to practice the arts of aspiration, lending vision and horizon to immediate strategies and choices, lending immediacy and materiality to abstract wishes and desires, and struggling to reconcile the demands of the moment against the disciplines of patience.

But the world does not change through language alone. These keywords (and many other linguistic strategies not discussed here) also provide the nervous system of a whole body of broader technical, institutional, and representational practices which have become signatures of the politics of the Alliance. Here I briefly discuss two vital organizational strategies that capture the ways in which technical practices are harnessed to the Alliance's political horizon. They are housing exhibitions and toilet

Housing exhibitions are a major organized technique through which the structural bias of existing knowledge processes is challenged, even reversed, in the politics of the Alliance. Since the materialities of housing-its cost, its durability, its legality, and its design-lie at the very heart of slum life, it is no surprise that this is an area where grassroots creativity has had radical effects. As in other matters, the general philosophy of state agencies, donors, and even NGOs concerned with slums has been to assume that the design, construction, and financing of houses has to be produced by various forms of expert and professional knowledge ranging from that of engineers and architects, to that of contractors and surveyors. The Alliance has challenged this assumption by a steady effort to appropriate, in a cumulative manner, all the knowledge required to construct new housing for its members. In effect, in Mumbai, the Alliance has

moved into housing development, and the fruits of this remarkable move are to be seen in three or four major sites, in Mankhurd, Dharavi, Ghatkopar.

Housing exhibitions are a crucial part of this reversal of the standard flows of expertise when it comes to housing for the rehabilitation of slum dwellers. The idea of housing exhibitions by and for the poor goes back to 1986 in Mumbai and has since been replicated in many cities in India and elsewhere in the world. These exhibitions, organized by the Alliance and other like-minded groups, are an example of the creative hijacking of an upper-class form (historically evolved for consumer products and highend industrial products oriented to the middle and upper classes in India) for the purposes of the poor. Not only do these exhibitions allow the poor (and especially the women among them) to discuss and debate designs for housing that suited their own needs, it also allowed them to enter into conversations with various professionals about housing materials, construction costs, and urban services. Through this process, their own ideas of the good life, of adequate space, and of realistic costs, were foregrounded, and they began to see that house building in a professional manner was only a logical extension of their greatest expertise, which was to build adequate housing out of the flimsiest of materials and in the most insecure of circumstances. These poor families were enabled to see that they had always been architects and engineers and could continue to play that role in the building of more secure housing. In this process, many technical and design innovations were made, and continue to be made.

More significant, these events were political events where poor families and activists from one city traveled to housing exhibitions in another city. socializing with each other, sharing ideas, and simply having fun. They were also events to which state officials were invited, to cut the ceremonial ribbon and to give speeches associating themselves with these grassroots exercises, thus simultaneously gaining points for hobnobbing with "the people" and giving poor families in the locality some legitimacy in the eyes of their neighbors, their civic authorities, and themselves. More important, in these public and ceremonial moments, we can see another remarkable way in which the capacity to aspire is built by changing the terms of recognition. Time after time, in the speeches by the leaders of the Alliance at these events, I have seen the importance of the languages of hope, aspiration, trust, and desire come together in a variety of languages (English, Hindi, and Marathi especially), in speeches built around a core of terms such as aska (hope), bharosa (trust), voiana (plan), and chakat (desire), all deployed in speeches about the importance of building more housing for the poor, for increasing their freedom from harassment, and for

expanding their spheres of self-governance. As politicians and bureaucrats join these events, in which much speech making is substantially spontaneous, they also find themselves drawn into the lexicon of plans, commitments, hopes, and trust. While it is possible to view these events as mere political charades, I would suggest that they are productive forms of political negotiation, in which poor communities are able to draw politicians into public commitments to expand the resources and recognitions available to the poor. Not all of these promises may be kept (or even meant), but they change the climate of negotiation, place certain commitments on public record, and produce a common terrain of aspiration in which the politics of the poor and the politics of politicians are brought into a common performative space. These are critical steps in strengthening the exercise of the capacity to aspire, among poor communities, not just as a cultural capacity but as a public and political capacity. Words, in such contexts, may not exactly be performatives, which guarantee material outcomes. But they are potent signals and occasions for building the capacity to aspire.

As with other key practices of the Alliance, housing exhibitions are also deep exercise in subserving the existing fasc actiumes of India. By performing their competences in public, by drawing an audience of their person and of the state, other NCOs, and sometimes foreign funders, these poor families involved enter a space of public sociality, official recognition, and technical legislation. And they do so with their own creativey as the same withhir. Thus technical and cultural capital are cocreated in these mans exhibit. Thus technical and cultural capital are cocreated in these pasce and pieces of the public sphere history are constrained. This is a particular politics of visibility which inverts the harm of the deflut condition of a ferri involvability which inverts the harm of the deflut condition of a vicin involvability which inverts the harm of the deflut condition of a vicin involvability which inverts the harm of the deflut condition of a vicin involvability which inverts the harm of the deflut condition of a vicin involvability which inverts the harm of the deflut condition of a vicin involvability which inverts the harm of the deflut condition of vicin involvability which inverts the harm of the

Reaming through all these activities is a spirit of transgension and boundness, expressed in book language, perceivaly-sed, and public address. The near and women of the Alliance are involved in constant batter. The area and women of the Alliance are involved in constant batter. For expression of the area light in public view. In rural India, women go the fields to defecate while it is still dark, and men may go later but with some measure of protection from the public eye (with the exception of the gaze of rail-way passengers inured to the sight of squatting bodies in the fields, and vice versa). Likewise, in rural India, the politics of shitting is spatially managed through a completely different economy of space, water, visibility, and custom.

In cities, the problem is much more serious. Shirting in the absence of good seveing systems, ventilation, and maning water full of which alams, by definition, Ita's) is a humilianing practice that is intimately connected to the condition under which waterformed disease take hold, concerning life-diseasement gains are considered diseased the same problems of the condition with proof its that days are the endy used for exciting public toollers are so long (often involving waiting times of an hour or more), and of course so long (often involving waiting times of an hour or more), and of course in the condition of the condition of

The Toilet Festivals organized by the Alliance in many cities of India are a brilliant effort to turn this humiliating and privatized suffering into scenes of technical innovation, collective celebration, and carnivalesque play with officials from the state, from the World Bank, and from middleclass officialdom in general. These toilet festivals involve the exhibition and inauguration not of models but of real public toilets, by and for the poor, involving complex systems of collective payment and maintenance, optimal conditions of safety and cleanliness, and a collective obligation to sustain these facilities. These facilities are currently small scale and have not yet been built in anything like the large numbers required for the urban slum populations of India's cities. But they are another performance of competence and innovation, in which the politics of shit is (to mix metaphors) turned on its head, and humiliation and victimization are turned into exercises in technical initiative and selfdignification. This is a politics of recognition (Taylor 1992) from below. When a World Bank official has to examine the virtues of a public toilet and to discuss the merits of this form of shit management with the shitters themelyes, the materiality of poverty turns from abjectivity to subjectivity. The politics of shit (as Gandhi showed in his own efforts to liberate Indian untouchables from the task of carrying away the shit of their upper-care upper-care upper-care to meeting point of the human body, day, which the post are now redefining with the bedy of movements like the Alliance. In India, where distance from your row days in the written allower of each distinction, the poor, to obligable on the object of the post point point principle to place some distance between their hist and themselves. The time of the post some distance between their hist and themselves. The time of the post some distance between their hist and themselves. The time of the post some distance of the post of the found of the post of th

In June 2001, at a major meeting at the United Nations, marking the five years that had passed after the important Istanbul housing meeting of 1996, the Alliance and its partners elsewhere in the world built a model house as well as a model children's toilet in the lobby of the main United Nations building, after considerable internal debate within the SDI and official resistance at the UN. These models were visited by Kofi Annan in a festive atmosphere which left an indelible impression of material empowerment on the world of UN bureaucrats and NGO officials present. Annan was surrounded by poor women from India and South Africa. singing and dancing, as he walked through the model house and the model toilet, in the heart of his own bureaucratic empire. It was a magical moment, full of possibilities for the Alliance, and for the secretarygeneral, as they engage jointly and together with the global politics of poverty. So housing exhibitions, and toilets too, can be moved, built, reconstructed, and deployed anywhere, thus sending the message that no space is too grand-or too humble-for the spatial imagination of the poor and for the global portability of the capacity to aspire.

In all these instances, a reasive represents of results, a part foreignace, but all these instances, a reasive represents of results, a long between but linguistic and exclusion, closust the sect of seedback, loop between general principles and specific goals which is at the heart of all access calculates, it applies before the presentation of the section of the s

Consensus, Capacities, Capabilities

We are now in a position to pail together some of the therm of other chapter. There tends to show that specific forms of self-generous chamcimobilization, and self-articulation are with to changing the cross-disc mobilization, and self-articulation are with to changing the cross-disc under which activities among the poor are changing the cross-discustion, the pair of the solid poor of the solid to the solid contion of the 20th art consens works at row-less and that both require concounted the poor in the solid to the randomistion of core normed tast are counted the poor in the solid to the randomistion of the solid poor counter that the solid poor in the solid poor in the solid counter of the solid poor in the contents are ball, as James Fernander would have predicted, by the other poor in the solid poor in the solid poor in the solid poor in the contents are ball, as James Fernander would have predicted, by the manner which we could be solve prefer to a "remailing."

Ritinal here should not be taken in its colloquial sense, as the meaningless repetition of set patterns of action, but rather as a flexible formula of performances through which social effects are produced and new states of feeling and connection are created, not just reflected or commemorated. This creative, productives, generative quality of ritual as crucial to consensus building in popular movements and it is quintessential window into why culture matters for developments.

For many propoor movements, such as the Alliance of housing activists I described in detail, the capacity to aspire (what I referred to earlier as a metacapacity) is especially precious in the face of the peculiar forms of temporality within which they are forced to operate. In this, they are not different from many other poor groups, especially in cities, but also in the countryside in many societies. The paradox of patience in the face of emergency has become a big feature of the world of globalization, as many poor people experience it. The world has a whole operates increasingly in the mode of urgency, of emergency, of dangers that require immediate reaction and attention. The poor, as refugees, as migrants, as minorities, as slum dwellers, and as subsistence farmers, are often at the center of these emergencies. Yet their biggest weapon is often their patience as they wait for relief to come, rulers to die, bureaucrats to deliver promises, government servants to be transferred, or drought to pass. This ability to hurry up and wait (an American joke about life in the army) has much more serious meaning in the life of the poor.

In helping the poor to negotiate emergency with patience, the capacity to aspire guarantees an ethical and psychological anchor, a horizon of

credible hopes, with which to withstand the deadly oscillation between waiting and rushing. Here, too, the capacity to aspire is a cultural capacity whose strengthening addresses some of the most peculiar cruelties of economic exclusion

This metacapacity, the capacity to aspire, is also a collective asset which is clearly linked to what Amartya Sen (1985a) has referred to as capabilities. They are two sides of the same coin, much as recognition and redistribution recall and require one another. The capacity to aspire provides an ethical horizon within which more concrete capabilities can be given meaning, substance, and sustainability. Conversely, the exercise and nurture of these capabilities verifies and authorizes the capacity to aspire and moves it away from wishful thinking to thoughtful wishing. Freedom, the anchoring good in Sen's approach to capabilities and development, has no lasting meaning apart from a collective dense and supple horizon of hopes and wants. Absent such a horizon, freedom descends to choice, rational or otherwise informed or not

What does this mean for those engaged in the active work of development, as planners, lenders, philanthropists? What does it mean to purture the capacity to aspire?

Nuts and Bolts

I began by noting that culture is many things, and I have by no means addressed them all. The capacity to aspire is one important thing about culture (and cultures), and it has been paid too little attention so far. Since the work of development and poverty reduction has everything to do with the future, it is self-evident that a deeper capacity to aspire can only strengthen the poor as partners in the battle against poverty. This is the only way that words like participation, empowerment, and grass roots can be rescued from the tyranny of cliché. But even if this seems intuitively right and true, what exactly can lenders, planners, and managers in an institution like the World Bank actually do to put it into practice?

Here I make a few suggestions, not to provide a detailed blueprint, but to provide a guide to further deliberation about making the argument of this chapter into an actual method of intervention and a principle of partnership between the poor and those who subscribe to the view that the poor must have an active role in changing their situations for the better The premise is that the capacity to aspire, as a cultural capacity, may

well be a capacity (that is, a metacapacity) whose fortification may accelerate the building of other capacities by the poor themselves. If so, it ought to be a priority concern of any developmental effort and a priority comnonent of any project with other substantive goals (such as health, food security, or job provision) directed to the reduction of poverty. How can this recommendation be concretely explored?

Here, some general principles appear relevant:

First, whenever an outside agent enters a situation where the poor (and poverty) are a major concern, he or she should look closely at those rituals through which consensus is produced both among poor communities and between them and the more powerful. This process of consensus production is a crucial place to identify efforts to change the terms of recognition. And any consistent pattern in internal efforts to positively tilt the terms of recognition of and for the poor should be supported, as either a side benefit or as a major target of the exercise. Such support can take the form of encouragement to report, record, and repeat such efforts, wherever possible.

Second, every effort should be made to encourage exercises in local reaching and learning which increase the ability of poor people to navigate the cultural map in which aspirations are located and to cultivate an explicit understanding of the links between specific wants or goals and more inclusive scenarios, contexts, and norms among the poor.

Third, all internal efforts to cultivate voice among the poor (rather than loyalty or exit) in the context of any debated policy or project should be encouraged rather than suppressed or ignored. It is through the exercise of voice that the sinews of aspiration as a cultural capacity are built and strengthened, and conversely, it is through exercising the capacity to aspire that the exercise of voice by the poor will be extended.

Fourth, any developmental project or initiative, however grand or modest in its scope, should develop a set of tools for identifying the cultural map of aspirations that surround the specific intervention that is contemplated. This requires a method of placing specific technologies or material inputs in their aspirational contexts for the people most affected by them. This will require careful and thoughtful surveys, which can move from specific goods and technologies to the narratives within which they are understood and thence to the norms which guide these narratives. This last proposal also recognizes that aspirations connect to much of the rest of what we may regard as beneficial about culture, including the lifestyle, values, morals, habits, and material life of any community. And this brings us back to culture more generally.

Coda on Culture

I began by noting that we need a sea change in the way we look at culture in order to create a more productive relationship between anthropol-

Traditional Culture—

ARY DOUGLAS

Outrage and Helplessness

Hideous poverty in the margins of massive wealth is a mark of our times, and an outage. Or at least one test in to be contrapeous, but other subrupt in off as inevitable. Public outage is a mysterious thing; To force some certain crimes means ignoring offern. Each generation finds something to condomn in the monal record of its immediate assection. The prime cample of western wischess is the slaver task, but many public top the contract of th

own time. But what next?

In the 21st centure, the 2nth will surely be charged with its distinctive load of green crimes, the raction of the Nairs, the deepenling of the environment of the control of t

ogy and economics, between culture and development, in the battle against poverty. This change requires us to place futurity, rather than pastness, at the heart of our thinking about culture. I have tried to draw our the implications of such a revision and have argued that it is of more than academic interest. It has direct implications for increasing the ability of the

poor to truly participate in the aims (and debates) of development This does not mean that we need to forget about culture in its broader sense, as the sense of tradition, the fabric of everyday understandings, the archive of memory and the producer of monuments, arts, and crafts. Nor do we need to slight the idea that culture is the fount of human expression in its fullest range, including the arts, music, theater, and language Culture is all of these things as well. But culture is a dialogue between aspirations and sedimented traditions. And in our commendable zeal for the latter at the cost of the former, we have allowed an unnecessary, harmful, and artificial opposition to emerge between culture and development. By bringing the future back in, by looking at aspirations as cultural capacities, we are surely in a better position to understand how people actually navigate their social spaces. And in terms of the relationship between democracy and development, this approach gives us a principled reason to build the capacity to aspire in those who have the most to lose from its underdevelopment-the poor themselves.

Note

Earlier versions of this chapter were presented before authories at the Walle. At Certifil University, and at Winestream Chiercen's in Albamachough, and granted for thoughted quotions and criticisms on all those occasions. I core a meganital for thoughted quotions and criticisms on all those occasions. I core a meganital control of the chapter as one more step in my being-standing datagets and the pattern as the production of this chapter, as one more step in my being-standing datagets, and the control of the chapter as one more step in my being-standing dataget, and the chapter as one more step in my being-standing dataget, and the chapter as the chapter as the chapter as the chapter and the

The section titled "Changing the Terms of Recognition: On the Ground in Mumbail" draws partly on a longer article I wrote on this grassroots housing movement, entitled "Deep Democracy: Urban Governmentality and the Horizon of Politics, previously published in Public Culture (United States) and in Environment and Urbanication (UK).